

210 APR 10 1998

Patent  
Attorney's Docket No. 012712-502

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In-re Patent Application of ) **ATTENTION:**  
Mitchell E. REFF et al. ) **APPLICATIONS BRANCH**  
Application No.: 09/019,441 )  
Filed: February 5, 1998 ) Group Art Unit: 1643  
For: GAMMA-1 AND GAMMA-3 ANTI-HUMAN )  
CD23 MONOCLONAL ANTIBODIES AND )  
USE THEREOF AS THERAPEUTICS ) Examiner: Unassigned

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show correction that is needed. The correction is as follows.

Please add addresses for each of the inventors as follows: Mitchell E. Reff, San Diego, California; William S. Kloetzer, Carlsbad, California; Takehiko Nakamura, Tokyo, Japan

Issuance of a corrected Official Filing Receipt is respectfully requested.

☒ This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required.

☐ The \$25.00 fee required under 37 C.F.R. § 1.19(h) to correct an Official Filing Receipt due to applicant error: ☐ is enclosed; ☐ is authorized to be charged to Deposit Account No. 02-4800 and this paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Robin L. Teskin

Robin L. Teskin  
Registration No. 35,030

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: April 10, 1998

**BEST AVAILABLE COPY**

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/019,441         | 02/05/98    | 1643         | \$1,208.00    | 012712-502          | 10    | 39     | 1      |

E JOSEPH GESS  
BURNS DOANE, SWECKER & MATHIS  
P O BOX 1404  
ALEXANDRIA VA 22313-1404

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

*San Diego, California*  
MITCHELL E. REFF; *Carlsbad, California*  
WILLIAM S. KLOETZER; TAKEHIKO  
NAKAMURA *Tokyo, Japan*

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CIP OF 08/803,085 02/20/97

FOREIGN FILING LICENSE GRANTED 03/25/98

TITLE

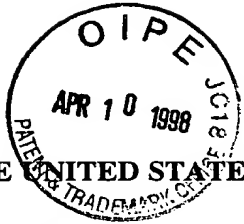
GAMMA-1 AND GAMMA-3 ANTI-HUMAN CD23 MONOCLONAL ANTIBODIES AND USE  
THEREOF AS THERAPEUTICS

PRELIMINARY CLASS: 530

|   |
|---|
| BURNS, DOANE, SWECKER & MATHIS, L.L.P.<br>RECEIVED<br><br>MAR 31 1998<br><br>DOCKETED <i>qk</i> |
|---|

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*IDEA*  
*EJG/BW*

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#3  
Patent

Attorney's Docket No. 012712-502

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
)  
Mitchell E. REFF et al. )  
) Group Art Unit: Unassigned  
Application No.: 09/019,441 )  
) Examiner: Unassigned  
Filed: February 5, 1998 )  
)  
For: GAMMA-1 AND GAMMA-3 ANTI-HUMAN )  
CD23 MONOCLONAL ANTIBODIES )  
AND USE THEREOF AS THERAPEUTICS )

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(e) dated March 31, 1998, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of [ ] \$65.00 ☒ \$130.00 as set forth in 37 C.F.R. § 1.16(e);  
[ ] Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- [ ] a Declaration Claiming Small Entity Status, and a Request for Refund;
- [ ] a Petition for Extension of Time;
- [ ] a verified English translation of the Application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and the \$40.00 Assignment Recording Fee;
- [ ] other \_\_\_\_\_;
- ☒ a check in the amount of \$ 170.00 for the fee due; and
- [ ] charge \$ \_\_\_\_\_ to Deposit Account No.02-4800 for the fee due.

Transmittal Letter for Missing Parts of Application

Application No. 09/019,441

Attorney's Docket No. 012712-502

Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By: 

Robin L. Teskin

Registration No. 35,030

Date: April 10, 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/019,441         | 02/05/98 REFF       |                       | M 012712-502              |

0222/0331

E JOSEPH GESS  
BURNS DOANE SWECKER & MATHIS  
P O BOX 1404  
ALEXANDRIA VA 22313-1404

NOT ASSIGNED

1643

DATE MAILED: 03/31/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

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01 FC:1056

- A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

012712-502  
IDEC  
EJG/PLT/BDW

Declaration due  
5/31/98

PART 2 - COPY TO BE RETURNED WITH RESPONSE